University of Cambridge
Open Access Project Board

Minutes – 31 October 2016

Meeting held at 13.30-15.00 on Monday 31 October 2016
Syndicate Meeting Room, The Old Schools

Present:
Professor Chris Abell, Pro-Vice-Chancellor for Research, Chair
Professor Abby Fowden, School of Biological Sciences
Dr Matthew Day, Cambridge University Press
Professor Lindsay Greer, School of Physical Sciences
Dr Peter Hedges, University Research Office
Dr Danny Kingsley, Office of Scholarly Communications, University Library
Professor Richard Prager, School of Technology
Dr Juergen Wastl, Head of Research Information (in place of Ralph Ecclestone, REF Manager)
Helen Jones, Research Strategy Office (Research Strategy Analyst), Secretary
Dr Marta Teperek, Research Office/University Library (Research Data Facility Manager), Secretary

Apologies:
Dr Martin Bellamy, University Information Services
Professor Alan Blackwell, School of Technology (User Needs Committee representative)
Professor John Danesh, School of Clinical Medicine
Professor Peter Mandler, School of the Humanities and Social Sciences
Professor Duncan Maskell, Senior Pro-Vice-Chancellor
Professor Chris Young, Acting University Librarian
Dr Nicholas White, School of Arts and Humanities
Professor Graham Virgo, Pro-Vice-Chancellor for Education – *Professor Graham Virgo stepped down from the Project Board*

Declarations of Interest: No new declarations were made.

1. Minutes of previous meeting OAPB-66
The draft minutes of the meeting held on 27 July 2016 were approved (OAPB-66). Actions from that meeting were complete or to be discussed during the meeting.

Matters Arising
a) Item 5.3 1 March 2016 OAPB Minutes. Alan Blackwell and Danny Kingsley to set up a working group on the Future of Academic Publishing: Danny Kingsley briefed the Board on working group progress. She planned to send on 31 October an email survey to all academics who identified themselves as editors, asking about their experience of training and support available to them as editors, and their views on the future of academic publishing.

Danny Kingsley also planned to engage the publishing community to foster a better model for the University by contacting presses around the UK to survey their individual options for moving forward.

b) Item 2.4 27 July 2016 OAPB Minutes. The revised governance structure was received.

c) Item 5.3 27 July 2016 OAPB Minutes. Danny Kingsley's update on the financial situation for RCUK/COAK funds was received.

d) Item 5.6 27 July 2016 OAPB Minutes. Danny Kingsley reported that further analysis of the Scholarly Communications Licence proposal led to a conclusion that Cambridge will not be able to sign the Licence as researchers hold the copyright over research outputs and not the University. It was agreed to keep a watching brief on the Licence in relation to any potential difficulties arising from collaborative works and co-authors coming from institutions other than Cambridge and which have signed the Licence.

2. Change of name and modification of terms of reference of the Open Access Project Board.

a) The Project Board considered whether its name should be changed to "Open Research Project Board" to reflect the fact that the Board is now also supporting activities beyond Open Access to publications, such as research data management. Danny Kingsley talked the Board through the Open Research webpage (http://osc.cam.ac.uk/open-research) to illustrate the breadth of Open Research issues that needed addressing, which included transparency in research, issues of authorship, and reproducibility. Danny also mentioned that the Wellcome Trust had just announced an Open Research publishing platform to support researchers in sharing all the outputs of the research process and that other universities and international organisations, such as FORCE11 also supported the "Open Research" agenda.

The Chair stressed that the main focus of the Open Access Project Board is to address external requirements for open access and open data and to increase compliance rates. It was agreed that the focus of the Project Board should not to be extended beyond compliance requirements and thus the change of name to "Open Research Project Board" would not be appropriate.

b) Danny Kingsley informed the Project Board about the joint initiative between the Wellcome Trust and the Office of Scholarly Communication in Cambridge to launch the Open Research Pilot project (OAPB-67).

3. Open Access Project – OAPB-68 and OAPB-69

a) Danny Kingsley highlighted the key items from the Open Access Project report (OAPB-68), including the financial update, the new Wellcome Trust compliance requirements and HEFCE policy deadline extension.

Wellcome Trust had instigated a set of publisher requirements to ensure that Wellcome Trust-funded researchers can publish their articles Open Access and from January there will be a 'white list' available of publishers who agreed to fulfil these requirements. From 1 April 2017 Wellcome Trust will not pay APCs for articles published in journals by publishers not on the white list, nor where articles do not have a CC-BY licence. Some publishers continue to offer potentially misleading licence options to authors. The Project Board agreed that it would be the researchers' responsibility to ensure that their articles comply with the Wellcome Trust requirements. It is vital to inform Wellcome Trust grant holders before April 2017 of the above and the consequences of not complying.
Action: Danny Kingsley to plan a communication strategy for Wellcome Trust grant holders.

The University research repository, Apollo, had been connected to Symplectic Elements. A pilot project was initiated to roll out the new connected system to the research community. One of the pilot participants noted that he had found it to be very straight-forward.

The Project Board noted that the new 'Request a copy' service allowing requestors to ask authors for copies of embargoed works was successful and receiving around 300 requests for works a month. Lindsay Greer congratulated Danny Kingsley on the

'Request a copy' service and commented that from the author's perspective the service was very straightforward and easy to use.

b) Danny Kingsley reviewed all the changes proposed by the Project Board to the Open Access Policy Framework (OAPB-69) and responded to concerns relating to types of Open Access and offsetting deals reached with publishers. An amendment was agreed to item x. under 'Responsibilities of the University' to extend the statement to become "x. Support researchers' freedom to choose where to publish, as long as the journal of choice is not in conflict with the funders' requirements." Additionally, it was agreed that a definition of 'hybrid' and 'fully Open Access' journals needs to be provided and also the definition of 'gold' and 'green' Open Access needs to be elaborated on.
Action: Secretary to revise the policy framework and forward to RPC following Chair's approval.

c) Danny Kingsley updated the Project Board on the progress of negotiations with Elsevier. Jisc is currently negotiating an agreement with Elsevier on behalf of all UK universities. The agreement will need approval by the Heads of Schools and the Acting University Librarian. Danny Kingsley suggested she prepare a communication around this which can be disseminated around the community.
Action: Danny Kingsley to prepare a communication.

4. Research Data Management Project – OAPB-70

a) Peter Hedges presented the key highlights of the Research Data Management Project Report (OAPB-70). Work has been initiated to develop provisions for managed access to confidential datasets – the project is currently at the scoping phase. The Research Data Team have initiated a call for Data Champions within departments, institutes and colleges to provide local expertise on data management and sharing and have received over 40 applications. A review of the number of submissions to Apollo suggested there were fewer submissions than might be expected from the School of Biological Sciences. Abigail Fowden explained that researchers from the School of Biological Sciences use discipline-specific repositories preferentially over Apollo.

b) Peter Hedges updated the Project Board on the sustainability plans for the Research Data Management Facility, including the successful application for the RMC grant (OAPB-71) and the plans for the Planning Round application.

5. Items to be referred to Research Policy Committee
The following papers will be forwarded to the Research Policy Committee:
*   Minutes of the meeting
*   Open Access report (OAPB-68) and the revised Open Access Policy Framework (OAPB-69)
*   RDM project report (with Terms of Reference of the RDM Project Group) (OAPB-70 with Annex I) and the RMC grant application (OAPB-71)

6. AOB
Secretary will e-mail Project Board members to decide on Project Board meeting dates in 2017.
Action: Secretary to e-mail Project Board members to decide on meeting dates in 2017.